

EF

Notice of Allowability

Application No.

10/766,348

Examiner

Phuong Huynh

Applicant(s)

GOODMAN, JOSHUA T.

Art Unit

2857

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to Amendment filed on October 9, 2006.
2. ☒ The allowed claim(s) is/are 1-4,6-9 and 25.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- | | |
|--|--|
| <ol style="list-style-type: none"> 1. <input type="checkbox"/> Notice of References Cited (PTO-892) 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) 3. <input checked="" type="checkbox"/> Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date <u>09/29/2006</u> 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit of Biological Material | <ol style="list-style-type: none"> 5. <input type="checkbox"/> Notice of Informal Patent Application 6. <input checked="" type="checkbox"/> Interview Summary (PTO-413),
Paper No./Mail Date <u>20061113</u> 7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance 9. <input type="checkbox"/> Other _____ |
|--|--|

DETAILED ACTION

EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. David Grillo on November 9, 2006.

The application has been amended as follows:

Claims 10-24 are cancelled.

Allowable Subject Matter

2. Claims 1-4, 6-9 and 25 are allowed over the prior art.

The following is an examiner's statement of reasons for allowance:

Goodman et al. (hereinafter "Goodman") (US Patent Application Pub. No. 2004/0260922) discloses a machine learning filter specifically trained using origination features such as an IP address as well as destination feature such as a URL [see Goodman: Abstract and Paragraph [0009]]. Further, Goodman discloses a system and method that facilitate distinguishing between spam and good messages in part by

employing various smoothing techniques such as employing the Maximum entropy models with the use of a Gaussian or Exponential prior [see Goodman: Paragraphs [0008], [0078], [0084]-[0088]].

Berger et al. (hereinafter "Berger") (US Patent No. 6,304,841) discloses an automatic construction of conditional Exponential Models from elementary features. Berger discloses an apparatus/ process for translating a series of source words in a first language to a series of target words in a second language. For an input series of source words, at least two target hypotheses, each comprising a series of target words, are generated. Each target word has a context comprising at least one other word in the target hypothesis. For each target hypothesis, a language model match score comprises an estimate of the probability of occurrence of the series of words in the target hypothesis. At least one alignment connecting each source word with at least one target word in the target hypothesis is identified. For each source word and each target hypothesis, a word match score comprises an estimate of the conditional probability of occurrence of the source word, given the target word in the target hypothesis which is connected to the source word and given the context in the target hypothesis of the target word which is connected to the source word. For each target hypothesis, a translation match score comprises a combination of the word match scores for the target hypothesis and the source words in the input series of source words. Further, Berger discloses an improved iterative algorithm [see Berger: Abstract, col. 3, lines 46-59; and col. 7, lines 44-53; and col. 21, lines 39-67].

Regarding claim 1, the combination as claimed wherein "an analysis component [employs] a plurality of Exponential priors, the plurality of Exponential priors correspond to a plurality of different features respectively" is not disclosed, suggested, or rendered obvious by the prior art of record.

Claims 2-4 and 6-9 depend from allowed claim 1 and therefore are also allowed.

Regarding claim 25, the combination as claimed wherein "an analysis component [employs] a plurality of Exponential priors, the plurality of Exponential priors correspond to a plurality of different features respectively" is not disclosed, suggested, or rendered obvious by the prior art of record.

Conclusion

3. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phuong Huynh whose telephone number is 571-272-2718. The examiner can normally be reached on M-F: 8:30 AM - 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Marc Hoff can be reached on 571-272-2216. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Phuong Huynh
Examiner
Art Unit 2857

PH

November 9, 2006


CAROL S.W. TSAI
PRIMARY EXAMINER